



10/28/97

Attorney's Docket No. 1505/5a

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification of this application:

Class 364 Subclass 717

Prior application:

Examiner: Michael O'NeillArt Unit: 3304

Box Patent Application  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

## TRANSMITTAL OF FILING UNDER 37 CFR 1.60(b)

This is a request for filing a

☐ Continuation☒ Divisional

application under 37 CFR §1.60, of pending prior application

Serial No. 08/358,242 filed on 12/19/94of Rolf E. Carlson

Inventor(s)

for Universal Gaming Engine

Title of invention

## 1. Copy of Prior Application as Filed That is Attached.

- ☒ I hereby verify that the attached papers are a true copy of what is shown in my records to be the above identified prior application, including the oath or declaration originally filed.  
 (37 CFR §1.60(b)(2))

The copy of the papers of prior application as filed which are attached are as follows:

☒ 32 page(s) of specification☒ 10 page(s) of claims☒ 1 page(s) of abstract☒ 9 page(s) of drawings

(also complete part 6 below, if drawings are to be transferred)

☒ 1 pages of declaration and power of attorney

(If the copy of the declaration being filed does not show applicant's signature, because the attorney's records do not contain a copy of the signed declaration actually filed for the application, indicate thereon that it was signed and complete the following:)

- ☐ in accordance with the indication required by 37 C.F.R. 60(b), my records reflect that the original signed declaration showing applicant's signature was filed on \_\_\_\_\_

- ☐ The amendment referred to in the declaration filed to complete the prior application and I hereby state, in accordance with the requirements of 37 CFR 1.60(b), that this amendment did not introduce new matter therein.

2. Amendments

- ☒ Cancel in this application original claims 1-16, 23-28 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

NOTE: When filing under Rule 1.60 retain at least one original claim from the patent application to assure a complete application." Notice of March 3, 1986 (1064 O.G. 37-38).

3. Petition for Suspension of Prosecution for the Time Necessary to File an Amendment.

(check the next item, if applicable)

- ☐ There is provided herewith a Petition To Suspend Prosecution For The Time Necessary to File An Amendment (New Application Filed Concurrently).

4. Information Disclosure Statement

(check this item if applicable)

- ☒ An information disclosure statement is submitted herewith.

5. Fee calculation (37 CFR 1.16)

A. Utility (37 C.F.R. 1.16(a), (b), (c), and (d))

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee (37 CFR 1.16(a)) \$790.00
Total			
Claims (37 CFR §1.16(c)) <u>6</u>	- 20 = <u>0</u>	x \$22.00	<u>0</u>
Independent			
Claims (37 CFR §1.16(b)) <u>1</u>	- 3 = <u>0</u>	x \$82.00	<u>0</u>
Multiple dependent claim(s), if any (37 CFR §1.16(d))			
	<u>0</u>	+ \$270.00	<u>0</u>

- ☐ Fee for extra claims is not being paid at this time. (37 CFR §1.16(d))

Filing fee Calculation \$ 790.00

B. Design (37 C.F.R. 1.16(f))

Filing fee calculation . . . . . \$ 320.00

6. Small Entity Status

- ☐ A verified statement that this filing is by a small entity:
- ☐ is attached



- ☐ has been filed in the parent application and such status is still proper and desired (37 CFR §1.28(a))

Filing fee Calculation (50% of Above) \$ \_\_\_\_\_

**7. Drawings**

- ☒ Drawings are enclosed

☐ Formal

☒ Informal

NOTE: "Identifying indicia, if provided, should include the application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if the Office is unable to match the drawings to the proper application. This information should be placed on the back of each sheet of drawings a minimum distance of 1.5 cm. (5/8 inch) down from the top of the page." 37 CFR §1.84(c).

**8. Priority – 35 U.S.C. 119**

- ☐ Priority of application serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.

Country

- ☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.

- ☐ The certified copy will follow.

**9. Relate Back – 35 U.S.C. 120**

- ☒ Amend the specification by inserting, before the first line, the following sentence:

"This is a

☐ continuation

☒ divisional

of copending application(s)

☒ Serial number 08/358,242 filed on 12/19/94 in new PAT. 5,707,286

☐ International Application \_\_\_\_\_ filed on \_\_\_\_\_ that designated the U.S."

**10. Inventorship Statement**

(complete appropriate items (a) and (b))

- (a) With respect to the prior copending U.S. application from which this application claims benefit under 35 U.S.C. 120 the inventor(s) in this application is (are):

(complete applicable item below)

☒ the same.

☐ less than those named in the prior application. It is requested that the following inventor(s) identified above for the prior application be deleted:

(type name(s) of inventor(s) to be deleted)

(b) The inventorship for all the claims in this application is

☒ the same.

☐ not the same, and an explanation, including the ownership of the various claims at the time the last claimed invention was made, is submitted.

#### 11. Assignment

☒ The prior application is assigned of record to

Mikohn Gaming Corporation at Reel 8692, Frame 0513

☐ An assignment of the invention to \_\_\_\_\_  
is attached. A separate

☐ "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW  
PATENT APPLICATION"

or

☐ FORM PTO 1595

is also attached.

#### 12. Fee Payment Being Made At This Time

☐ Not enclosed

☐ No filing fee is submitted.

(This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

☒ Enclosed

☒ basic filing fee

\$ 790.00

☐ recording assignment

(\$40.00; 37 CFR 1.21(h))

(See attached "COVER SHEET FOR  
ASSIGNMENT ACCOMPANYING NEW  
PATENT APPLICATION".)

☐ processing and retention fee

(\$130.00; 37 CFR 1.53(d) and 1.21(l))

\$ \_\_\_\_\_

Total Fees Enclosed

\$ 790.00

#### 13. Method of Payment of Fees

☒ Enclosed is a check in the amount of \$ 790.00.

☐ Charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A  
duplicate of this request is attached.

#### 14. Authorization To Charge Additional Fees

☒ The Commissioner is hereby authorized to charge any additional filing fees to Account  
No. 04-1414.

☒ 37 CFR 1.16(a), (f) or (g) (filing fees)

- ☐ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
- ☐ 37 CFR §1.17 (application processing fees)
- ☐ CFR §1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR §1.311(b))

#### 15. Power of Attorney

- ☒ The power of attorney in the prior application is to

<u>Robert C. Dorr</u>	<u>27,782</u>
Attorney	Reg. No.#
<u>W. Scott Carson</u>	<u>27,292</u>
Attorney	Reg. No.#
<u>Jack C. Sloan</u>	<u>26,806</u>
Attorney	Reg. No.#
<u>Thomas S. Birney</u>	<u>30,025</u>
Attorney	Reg. No.#

- a. ☒ The power appears in the original papers in the prior application.
- b. ☐ Because the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ A new power has been executed and is attached.
- d. ☒ Address all future communications to  
(item d may only be completed by applicant, attorney or agent of record)

Name: Robert C. Dorr

Address: DORR, CARSON, SLOAN & BIRNEY, P.C., 3010 East 6th Avenue, Denver,  
Colorado 80206

#### 16. Maintenance of Copendency of Prior Application

(this item must be completed and the papers filed in the prior application if the period set in the prior application has run)

- ☐ A petition, fee and response has been filed to extend the term in the pending prior application until \_\_\_\_\_.
- ☐ A copy of the petition for extension of time in the prior application is attached.

#### 17. Conditional Petition for Extension of Time in Prior Application

(complete this item and file conditional petition in the prior application if previous item not applicable)

- ☐ A conditional petition for extension of time is being filed in the pending parent application.
- ☐ A copy of the conditional petition for extension of time in the prior application is attached.

#### 18. Abandonment of Prior Application (if applicable)

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

**19. Notification in Parent Application of the Filing of This Continuation Application**

- ☒ A notification of the filing of this continuation is being filed in the parent application from which this application claims priority under 35 USC §120.

**20. Statement by Assignee (if applicable)**

- ☐ In accordance with 37 CFR 3.73, I have reviewed the evidentiary documents establishing my/our ownership of the application identified herein, and certify that to the best of my/our knowledge and belief, title is with me/us who seek to take action.
- ☐ Assignment submitted herewith for recordal

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

\_\_\_\_\_  
(type or print name of person signing declaration)

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature

\_\_\_\_\_  
P.O. Address of Signatory

Tel. No.: (      )  
Reg. No. (if applicable)

- ☐ Inventor  
☐ Assignee of complete interest  
☐ Person authorized to sign on behalf of assignee  
☐ Attorney or agent of record  
☐ Filed under Rule 34(a)

(complete the following, if applicable)

\_\_\_\_\_  
(Type name of assignee)

\_\_\_\_\_  
Title of person authorized to sign on behalf of assignee

\_\_\_\_\_  
Address of Assignee



Assignment recorded in PTO on \_\_\_\_\_, Reel \_\_\_\_\_, Frame \_\_\_\_\_

Assignment recorded in PTO on \_\_\_\_\_, Reel \_\_\_\_\_, Frame \_\_\_\_\_

The statement under 37 CFR §3.73(b)

☐ has been filed in the parent application.

☐ a copy of the statement previously filed in the parent application is attached.

Reg. No. 27,782

Date: 10/28/97

Tel. No. ( 303 ) 333-3010

RLCD

**Signature of Practitioner**

Robert C. Dorr

Type or Print Name of Practitioner

Dorr, Carson, Sloan & Birney, P.C.

P.O. Address

3010 East 6th Avenue

Denver, Colorado 80206